

STATE PREVENTION SYSTEM

Structure and Organization

The New York State Office of Alcoholism and Substance Abuse Services (OASAS), a freestanding Cabinet level alcohol and other drug (AOD) agency, is the lead AOD agency for New York. There are four Divisions within OASAS. The Bureau of Prevention and Intervention Policy and Resource Development is within the Division of Program Operations, and is responsible for policy and program direction for the network of alcohol and other drug abuse school- and community-based prevention providers located throughout New York State. The Prevention Bureau manages the development and communication of OASAS prevention policy and develops statewide prevention initiatives. AOD prevention service delivery occurs via a network of funded and licensed AOD abuse prevention providers serving all 62 counties in New York State. New York contracts with local governmental agencies and community-based service provider agencies, which provide a continuum of statewide, regional, county, and community focused AOD prevention services.

Prevention System

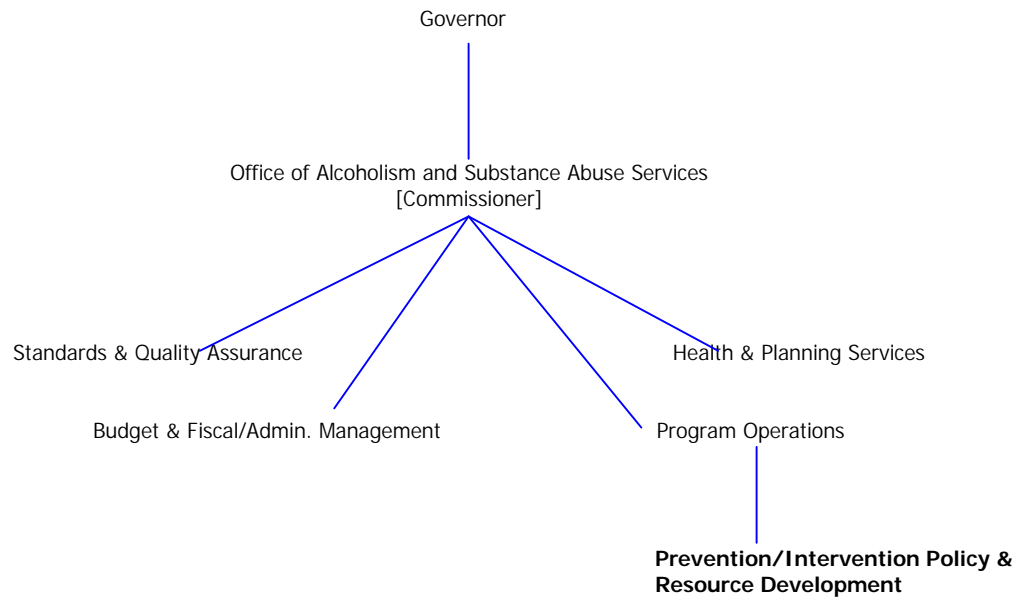
New York State's AOD abuse prevention service delivery system consists of more than 450 school- and community-based prevention and early intervention programs operating at over 2,700 sites. These programs provide a comprehensive range of services including, but not limited to:

- Classroom assembly
- Parent, teacher, and community awareness presentations
- Professional consultations
- Peer programming
- Social skills groups
- Discussion groups
- Social/cultural and recreational activities
- Mentoring programs
- Volunteer projects
- Community organization
- Interagency networking
- Workplace/school policy development
- Media campaigns
- School/agency personnel training and staff development
- Individual, family, group, and crisis counseling
- Assessment and referral
- Vocational and tutorial assistance

OASAS's approach to substance abuse prevention, and the conceptual framework that supports it, have evolved over time and are based on emerging national research findings and New York State's experience in the development, promotion, operation, and evaluation of prevention and early intervention programs.

STATE PREVENTION INVENTORY – NEW YORK PROFILE

Organizational Chart



STATE PREVENTION INVENTORY – NEW YORK PROFILE

FUNDING AND RESOURCES

Year (FFY)	State Funding*	SAPT Funding	20% Set-aside*
1993	\$49,355,937	\$89,990,049	\$18,824,884
1994	53,576,089	90,018,218	22,122,952
1995	45,127,991	84,711,286	20,864,782

*See Endnotes.

Allocation of Funds

CSAP Strategy	FFY 1993	FFY 1994	FFY 1995
Information Dissemination	\$2,402,561	\$2,707,849	\$2,666,519
Education	3,703,289	4,300,702	4,106,189
Alternatives	1,567,679	1,893,725	1,735,949
Problem Identification and Referral	7,653,330	9,096,958	8,479,448
Environmental	1,769,757	2,221,144	1,957,117
Community-based Process	1,728,268	1,902,574	1,919,560
Other	0	0	0

Resource Spending*	FFY 1993	FFY 1994	FFY 1995
Planning, Coordination, and Needs Assessment	\$373,247	\$57,975	\$22,699
Quality Assurance	162,129	377,303	266,771
Training (post-employment)	0	0	0
Education (pre-employment)	0	0	0
Program Development	399,708	260,745	534,392
Research and Evaluation	0	0	0
Information Systems	214,600	232,530	281,269

* These expenditures fell outside the set-aside.

Substate entities receiving set-aside funds for prevention service delivery

- 450 school- and community-based programs

Average amount of grant/contract:

- FFY 1993 - \$175,915
- FFY 1994 - \$201,118
- FFY 1995 - N/A*

*Data not available from State.

Per-capita 20% set-aside spending (population):

- FFY 1993 - \$1.04
- FFY 1994 - \$1.22
- FFY 1995 - \$1.21

Staff/Volunteers designated and supported by set-aside funding and level:

- FFY 1993 -
 - State: 0
 - Regional: N/A*
 - Local: N/A
- FFY 1994 -
 - State: 0
 - Regional: N/A
 - Local: N/A
- FFY 1995 -
 - State: 0
 - Regional: N/A
 - Local: N/A

*Prevention set-aside funds were utilized to support school- and community-based prevention programs, although no data on the number of FTEs/volunteers supported are available. None of these funds supported State-level staff responsible for administrative and program monitoring tasks.

STATE CONTACT

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PROGRAMS AND SERVICES

Definition of Prevention:

Prevention/intervention is a proactive research-based process that enhances resiliency, reduces risk factors, and promotes opportunities for growth and healthy decision-making. Prevention/intervention must be built on an understanding of the multiple causes of AOD abuse, and the needs that alcohol and other drugs fill in people's lives. If prevention and intervention are to have long-term success, societal factors must be recognized and addressed.

Does the State have prevention plan?

OASAS publishes a five-year Comprehensive State AOD Plan, with annual updates consistent with New York's statutory requirements. This Document provides long- and short-range strategies, communicates policy initiatives to the public, and summarizes the State's AOD prevention/treatment system activity and development.

- College population
- Schools
- Civic groups
- Faith community
- Law enforcement
- Juvenile justice

Target populations for prevention services:

- General public
- Business/organizations/agencies
- Youth
- Families

Total Number served:

- FFY 1993 – N/A*
- FFY 1994 – N/A
- FFY 1995 – N/A

*The data collection system used by New York does not track individuals served.

Programs funded:

Type	Number of Programs/Number Served			Programs
	FFY 1993	FFY 1994	FFY 1995	
Information dissemination	27,372*	27,372*	27,372*	School assembly presentations; community awareness presentations; media campaigns; brochures; radio and TV public service announcements; health fairs/promotions; information lines; newsletters; RADAR site; Internet website

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Type	Number of Programs/Number Served			Programs
	FFY 1993	FFY 1994	FFY 1995	
Education	106,229*	106,229* 13,389**	135,000♦	Specialized prevention conferences and training seminars; classroom presentations; assembly programs; discussion groups
Community and professional mobilization	77,253*	77,253*	25,750♦	Networking workshops for parents and community groups
Alternatives	55,959*	55,959*	70,120♦	Recreational activities; cultural performing arts groups; Project Adventure
Social policy and environmental change	26,327*	26,327*	6,500♦	Regional tobacco control coalitions; community workshops/events; public testimony; coalition development
Problem identification and referral	127,693*	127,693*	127,693* 52,700♦ (assessments) 11,200♦ (referrals)	Employee assistance programs; student assistance programs; family assessment and referral

*New York State fully integrates SAPT prevention set-aside funding into its total statewide AOD prevention strategy and activity; therefore it is not possible for the State to report specifically the types of programs, the number of programs, or the number of persons served through SAPT prevention set-aside support. Furthermore, New York State's data collection system did not track the individuals served in FFY 1993-1995, but rather the services provided. The number of client contacts – displayed in the table and denoted by an asterisk – provided by programs supported via SAPT prevention set-aside funds has been extrapolated (by the State) as a percentage of the State's total system services level.

**Number of persons who received direct training.

♦Most of the data reported for FFY 1995 were obtained from New York State's Block Grant application (FFY 1996), and represent the number of client encounters, educational sessions, or workshops that took place.

DATA COLLECTION ACTIVITIES

Results currently available on prevention programs funded by the 20% set-aside (including needs assessment and data collection):

All prevention programs funded by OASAS complete an annual results-focused work plan that identifies the following parameters:

- Target population(s)
- Population characteristics
- Research-based service approach to be used
- Performance target(s) to be achieved
- Results verification method to be used

Each work plan must be reviewed and approved by the local government unit (i.e., county) and the OASAS Program Management staff in the corresponding Regional Office. Annual reviews of

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these work plans provide opportunities for course correction whenever desired results are not achieved.

New York is currently finalizing a multi-year restructuring of its prevention data reporting and collection system. The new system, being pilot-tested in 1998, will provide on-line, Internet-based data reporting of services delivered, by type of intervention and work plan goal. These data will be aligned with the six CSAP prevention strategies.

SUPPORT SERVICES

Training and Technical Assistance:

All program supported through SAPT prevention set-aside funds receive as-needed training and technical assistance from OASAS consistent with the State's approach to all AOD provider agencies. Workshops, conferences, and seminars for providers include training and technical assistance in the areas of:

- Outcome-based prevention workplan development and implementation.
- Application of risk and protective factors in prevention programming.
- Role of prevention in local and regional managed behavioral health care systems.

Certification Activities:

Presently, New York State does not have a certification process in place for its prevention professionals. New York is actively pursuing certification of its prevention professionals through development of an International Certification Reciprocity Consortium (ICRC)-recognized Prevention Credential. An OASAS-supported workgroup that includes provider representation is currently structuring criteria and regulations for submission to the ICRC in late 1998. This activity is supported with State funds.

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Endnotes

With regard to the Funding and Resources data that are presented in this profile the following points are emphasized:

1. State funds spent on prevention-related activities consist of all funds – other than from the SAPT Block Grant set-aside – that are administered by OASAS.
2. New York State spent substantially more of the SAPT award on primary prevention than was required to meet the 20% set-aside, as shown in the first of the Funding and Resources tables. A comparison of the set-aside amount and the amounts actually spent by the State in FFY 1993-1995 is shown below:

	<u>20% of Block Grant</u>	<u>Amount of SAPT Block Grant spent on prevention</u>
FFY 1993	\$17,998,010	\$18,824,884
FFY 1994	\$18,003,644	\$22,122,952
FFY 1995	\$16,942,257	\$20,864,782

Regarding data collection activities funded outside the realm of the 20% prevention set-aside, New York completed its CSAP-supported Community Needs Assessment project "Prevention Risk Indicator/Services Monitoring System (PRISMS)" in 1996. This system utilized archival data from multiple systems spanning 1989-1994 to produce a three-year predictive Community and Youth Risk Index Data at the county level; these data were made available to counties across the State during 1997 as part of the local planning process. During 1998, OASAS has initiated an update of this project, which will in 1999 provide data with predictive validity through 2000. These data will be used by local providers and counties in completing their annual planning and budgeting process. In addition, other systems at the State and local level will utilize these data for targeting coordinated initiatives.